

**A66 Northern Trans-Pennine Project  
TR010062**

**2.7 Environmental Management Plan  
Annex C3 Scheduled Monuments  
Method Statement (Rev 3) (Clean)**

**APFP Regulations 5(2)(a)**

**Planning Act 2008**

**Infrastructure Planning (Applications: Prescribed Forms and  
Procedure) Regulations 2009**

**Volume 2**

**4 April 2023**

Infrastructure Planning

Planning Act 2008

**The Infrastructure Planning  
(Applications: Prescribed  
Forms and Procedure)  
Regulations 2009**

A66 Northern Trans-Pennine Project  
Development Consent Order 202x

---

**2.7 ENVIRONMENTAL MANAGEMENT PLAN  
ANNEX C3 SCHEDULED MONUMENTS METHOD  
STATEMENT**

---

<b>Regulation Number:</b>	Regulation 5(2)(a)
<b>Planning Inspectorate Scheme Reference</b>	TR010062
<b>Application Document Reference</b>	2.7
<b>Author:</b>	A66 Northern Trans-Pennine Project Team, National Highways

<b>Version</b>	<b>Date</b>	<b>Status of Version</b>
Rev 1	13 June 2022	DCO Application
Rev 2	14 February 2023	Deadline 4
Rev 3	4 April 2023	Deadline 6

---

## CONTENTS

<b>1</b>	<b>Introduction</b> .....	<b>1</b>
1.1	Purpose .....	1
1.2	Overview of the Project.....	1
<b>2</b>	<b>Baseline Conditions</b> .....	<b>2</b>
2.1	Routewide.....	2
2.2	M6 Junction 40 to Kemplay Bank .....	2
2.3	Penrith to Temple Sowerby .....	2
2.4	Temple Sowerby to Appleby.....	3
2.5	Appleby to Brough .....	3
2.6	Bowes Bypass .....	3
2.7	Cross Lanes to Rokeby .....	3
2.8	Stephen Bank to Carkin Moor.....	3
2.9	A1(M) Junction 53 Scotch Corner.....	3
<b>3</b>	<b>Key risks</b> .....	<b>3</b>
<b>4</b>	<b>Construction Methodology</b> .....	<b>4</b>
4.1	Overview.....	4
4.2	Scheduled Monuments .....	4
<b>5</b>	<b>Control measures</b> .....	<b>8</b>
5.1	Compaction prevention.....	8
5.2	Vibration damage prevention.....	8
5.3	Fencing.....	8
5.4	Pre-construction surveys and mitigation.....	9

## **C3 Scheduled Monuments Method**

### **C3.1 Introduction**

#### **Purpose**

C3.1.1 This document forms Annex C3 of the Environmental Management Plan (EMP) (Application Document 2.7). Annex C3 is an extended essay plan for a Method Statement for all works within or adjacent to Scheduled Monuments for the A66 Northern Trans-Pennine project (the Project). It will be completed on an iterative basis by the Principal Contractor (PC) as the Project progresses through the detailed design and construction planning stage, resulting in a final method statement for consultation and approval (as set out in the EMP) prior to construction commencing. The method statement shall be in accordance with the action required set out in the EMP Register of Environmental Actions and Commitments commitment reference MW-CH-03.

C3.1.2 The Project is located in a very important and sensitive heritage landscape and involves direct interaction with a number of Scheduled Monuments. Specific working practices will be required when working within or immediately adjacent to Scheduled Monuments in order to ensure any impact is minimised. This Method Statement is intended to set out the detail of the methods to be employed during any works within or adjacent to Scheduled Monuments for the Project and describe how the key environmental controls will be implemented.

C3.1.3 This Method Statement should be read alongside, and will ensure implementation of key mitigation described within, the Detailed Heritage Mitigation Strategy (Annex B3 of the EMP, Application Document Number 2.7).

C3.1.4 The Method Statement includes:

- Location of works in relation to Scheduled Monuments
- Details of the sensitive receptors and their locations
- A brief description of works to be undertaken
- Equipment to be used
- Step by step description of the construction method to be implemented
- Key environmental control measures to be applied.

#### **Overview of the Project**

C3.1.5 The Project includes upgrading the existing single lane sections of the A66 to dual two-lane all-purpose roads with a speed limit of 70 miles per hour (mph), with the exception of a section of the A66 from the M6 junction 40 through Kemplay Bank which will have a speed limit of 50mph. The Project also includes amendments to existing junctions and accesses within these sections.

C3.1.6 The A66 lies within three local planning authority administrative areas: Eden District, Durham County and Richmondshire District as illustrated in ES Figure 1.1: A66 Location and Overview Plan, in ES Chapter 1: Introduction (Application Document 3.2).

- C3.1.7 The Project will be delivered as a number of schemes:
- M6 Junction 40 to Kemplay Bank
  - Penrith to Temple Sowerby
  - Temple Sowerby to Appleby
  - Appleby to Brough
  - Bowes Bypass
  - Cross Lanes to Rokeby
  - Stephen Bank to Carkin Moor
  - A1(M) Junction 53 Scotch Corner.
- C3.1.8 The A66 runs through the North Pennines Area of Outstanding Natural Beauty (AONB) between Brough and Bowes. The Lake District National Park is approximately 2km south-west of Penrith and the Yorkshire Dales National Park is located approximately 3.5km south of the A66.
- C3.1.9 The A66 roughly follows the line of a Roman road and as a result is straight in alignment for large sections, but, with notable deviations as it passes around key settlements along the route, including, Penrith, Temple Sowerby, Kirkby Thore, Appleby In-Westmorland, Brough, Bowes, Greta Bridge and Scotch Corner.
- C3.1.10 There are a number of historic features along the route including conservation areas, Scheduled Monuments and a large number of Grade I, II\* and II listed buildings, many of which lie directly adjacent to the A66. These are presented on ES Figures 8.1: Designated Assets within 1km to ES Figure 8.3: Historic Landscape Character Areas (Application Document Number 3.3). in ES Chapter 8: Cultural Heritage (Application Document 3.2).

## **C3.2 Baseline Conditions**

### **Routewide**

- C3.2.1 Two cultural heritage resources are encountered consistently across the route - the Roman road running between Scotch Corner and Penrith (Brougham) via Bowes identified by Margary as RR82 (00-0001) (Margary, 1957) and its Post Medieval turnpiked successor (00-0002). See ES Chapter 8: Cultural Heritage section 8.6 (Application Document 3.2) for more information.

### **M6 Junction 40 to Kemplay Bank**

- C3.2.2 The following scheduled monuments are located within or immediately adjacent to the Order Limits:
- Brougham Roman fort (Brovacum) and civil settlement and Brougham Castle (02-0002).

### **Penrith to Temple Sowerby**

- C3.2.3 The following scheduled monuments are located within or immediately adjacent to the Order Limits:
- Countess's Pillar (03-0006)

- Settlement 1/3 mile (540m) ENE of Brougham Castle OR Brougham Vicus, Brougham (03-0004)

### Temple Sowerby to Appleby

C3.2.4 The following scheduled monuments are located within or immediately adjacent to the Order Limits:

- Farmstead 700 yards NNW of Redlands Bank (0405-0001)
- Roman Milestone 180m north west of Spitals (0405-0002)
- Kirkby Thore Roman Fort and Associated Vicus (0405-0003)
- Roman Camp, 350m east of Redlands Bank (0405-0004).

### Appleby to Brough

C3.2.5 The following scheduled monuments are located within or immediately adjacent to the Order Limits:

- Warcop Roman Camp And Length Of Roman Road, 285m South West Of Moor House (06-0003)

### Bowes Bypass

C3.2.6 No scheduled monuments are located within or immediately adjacent to the Order Limits.

### Cross Lanes to Rokeby

C3.2.7 The following scheduled monuments are located within or immediately adjacent to the Order Limits:

- Greta Bridge (08-0001)
- Greta Bridge Roman Fort, Vicus and section of Roman Road (08-0002)

### Stephen Bank to Carkin Moor

C3.2.8 The following scheduled monuments are located within or immediately adjacent to the Order Limits:

- Roman Fort and Prehistoric enclosed settlement 400m west of Carkin Moor Farm (09-0001)

### A1(M) Junction 53 Scotch Corner

C3.2.9 No Scheduled monuments are located within or directly adjacent to the Order Limits.

## C3.3 Key risks

C3.3.1 The assets stated in the above sections C3.1 to C3.2 potentially face the following risks:

- Damage from excavation
- Tracking of vehicles over buried archaeology
- Vibration damage
- Compaction of archaeological deposits by construction traffic and structures
- Partial or total removal of heritage resources, including archaeological remains, within the project footprint

C3.3.2 This section of the Method Statement will describe the works required within or adjacent to each of the scheduled monuments listed in sections C.3 to C3.9, detailing the specific risks associated with the planned works within/adjacent to each monument.

## **C3.4 Construction Methodology**

### *Overview*

C3.4.1 This section provides a high-level overview of the potential construction required at each scheduled monument.

C3.4.2 Once detailed design and construction planning is complete, this section will be updated to include detail of exact works at each location, a step-by-step description of the methods to be implemented and any location specific control measures.

C3.4.3 This section will describe how specific mitigation measures relating to construction methodology, as set out in the Detailed Heritage Mitigation Strategy (Annex B3 of the EMP, Application Document 2.7) will be implemented during construction at each scheduled monument.

### *Scheduled Monuments*

C3.4.4 The following section will outline the construction methods and key controls that will be implemented for each Scheduled Monument.

### **Brougham Roman fort (Brocaum) and civil settlement and Brougham Castle (02-0002)**

#### *Construction Methods*

C3.4.5 A new Walking, Cycling and Horseriding (WCH) route is to be constructed running to the south of the B6262 along the edge (and within) the section of the monument to the south east of the B6262. This route is then carried on an embankment before crossing over the new A66 on an overbridge, and east through the corner of the "Settlement 1/3 mile (540m) ENE of Brougham Castle" to the north of the A66.

C3.4.6 All footpath works at this location will involve as minimal excavation as practicable in order to install the footpath. Where works are within the scheduled monument along the edge, machinery should work from outside the scheduled monument where practicable.

C3.4.7 Where excavation is required, once archaeology present is recorded, if being retained in situ it should be protected with suitable cover to prevent tracking machinery damaging the archaeology.

#### *Key controls*

C3.4.8 This section will include a description of the key controls for the construction of the project at this location, with regards to the scheduled monument.



## Settlement 1/3 mile (540m) ENE of Brougham Castle OR Brougham Vicus, Brougham (03-0004)

### *Construction Methods*

- C3.4.9 The existing A66 crosses a corner of "Settlement 1/3 mile (540m) ENE of Brougham Castle). The existing road will be widened on the approach to the site and works associated with tying in to the existing dual carriageway section are required.
- C3.4.10 A new Walking, Cycling and Horseriding (WCH) route is to be constructed running to the south of the B6262. This route then passes over the new A66 on an overbridge, and then east through the "Settlement 1/3 mile (540m) ENE of Brougham Castle" to the north of the A66.
- C3.4.11 All footpath works at this location will involve as minimal excavation as practicable in order to install the footpath. Where works are within the scheduled monument along the edge, machinery should work from outside the scheduled monument where practicable.
- C3.4.12 Where excavation is required, once archaeology present is recorded, if being retained in situ it should be protected with suitable cover to prevent tracking machinery damaging the archaeology.

### *Key controls*

- C3.4.13 This section will include a description of the key controls for the construction of the project at this location, with regards to the scheduled monument.

## Countess's Pillar (03-0006)

### *Construction Methods*

- C3.4.14 Countess's Pillar and Arms Table is located within the Order Limits. The site is protected by a surrounding fence.
- C3.4.15 No works are to take place within the fence surrounding the Pillar and Arms Table, and construction fencing and signage should be placed around the site to ensure no accidental damage occurs.
- C3.4.16 A new WCH route from the nearby parking area to the Countess's Pillar is to be constructed as part of the Project. This will necessitate work within the mapped boundary of the scheduled monument, but not within the fenced area protecting the site.

### *Key controls*

- C3.4.17 This section will include a description of the key controls for the construction of the project at this location, with regards to the scheduled monument.



## Roman Milestone 180m north west of Spitals (0405-0002)

### *Construction Methods*

- C3.4.18 No works are proposed within the scheduled monument. The Order Limits extend along Templars' Court to the west of the monument, to allow for minor WCH works and planting of hedgerows.
- C3.4.19 No works are expected to affect the scheduled monument itself.

### *Key controls*

- C3.4.20 This section will include a description of the key controls for the construction of the project at this location, with regards to the scheduled monument.

## Kirkby Thore Roman Fort and Associated Vicus (0405-0003)

### *Construction Methods*

- C3.4.21 The Order Limits include the existing A66 as it passes between two sections of the Kirkby Thore Roman Fort and Associated Vicus. A new WCH route is to be constructed adjacent to the existing A66, immediately adjacent to the boundary of the Roman Fort and Vicus.
- C3.4.22 All works to the WCH route should be undertaken from outside of the scheduled monument. Excavation should be kept to the minimal amount practicable especially where immediately adjacent to the scheduled monument boundary.

### *Key controls*

- C3.4.23 This section will include a description of the key controls for the construction of the project at this location, with regards to the scheduled monument.

## Roman Camp, 350m east of Redlands Bank (0405-0004)

### *Construction Methods*

- C3.4.24 The Order Limits include the existing A66 as it passes between two sections of the Roman Camp, 350m east of Redlands Bank. A new WCH route is to be constructed adjacent to the existing A66, immediately adjacent to and partially within the boundary of the Roman Camp.
- C3.4.25 All works to the WCH route should be undertaken from outside of the scheduled monument. Excavation should be kept to the minimal amount practicable especially where immediately adjacent to/within the scheduled monument boundary.

### *Key controls*

- C3.4.26 This section will include a description of the key controls for the construction of the project at this location, with regards to the scheduled monument.

## Roman Fortlet 200m SSE of Castrigg (0405-0005)

### *Construction Methods*

- C3.4.27 The Order Limits pass to the south of the Roman Fortlet 200m SSE of Castrigg. A line of trees is proposed to be planted at this location to retain the important heritage landscape feature of the Roman Road.
- C3.4.28 Trees will be planted sufficiently far from the scheduled monument so as to ensure no root damage will occur. All works are to be undertaken from the south, away from the scheduled monument.

### *Key controls*

- C3.4.29 This section will include a description of the key controls for the construction of the project at this location, with regards to the scheduled monument.

## Warcop Roman Camp And Length Of Roman Road, 285m South West Of Moor House (06-0003)

### *Construction Methods*

- C3.4.30 The Project crosses the south west corner of the Warcop Roman Camp and Length of Roman Road, 285m South West of Moor House. It also crosses a length of roman road extending to the west which is not part of the marked area of scheduled monument, but is associated with it and of equal importance.
- C3.4.31 The detailed design will aim to minimise the effect on the scheduled monument at this location, however construction will be required within the monument.
- C3.4.32 The road is to be constructed on embankment at this location, therefore construction methods should ensure as little excavation as practicable occurs, and that the building up of the embankment utilises compaction methods that are least likely to damage any archaeology retained within the construction area.
- C3.4.33 The installation of drainage will require excavation but methods must be developed that minimise the extent of excavation.
- C3.4.34 All works must take place from the south west of the site, working towards the scheduled monument in order to prevent accidental damage.

### *Key controls*

- C3.4.35 This section will include a description of the key controls for the construction of the project at this location, with regards to the scheduled monument.

## Greta Bridge Roman Fort, Vicus and section of Roman Road (08-0002)

### *Construction Methods*

- C3.4.36 The Order Limits extend to within the Greta Bridge Roman Fort, Vicus and section of Roman Road scheduled monument. The area is associated with the existing A66, and is to allow for the provision of new lane

markings beyond the location where the new section of A66 ties-in. All works will be carried out within the highway boundary, and on the existing road.

#### *Key controls*

- C3.4.37 This section will include a description of the key controls for the construction of the project at this location, with regards to the scheduled monument.

### Roman Fort and Prehistoric enclosed settlement 400m west of Carkin Moor Farm (09-0001)

#### *Construction Methods*

- C3.4.38 The existing A66 passes through this scheduled monument within a cutting. The proposed widening remains within the existing cutting, and the road is raised to utilise the width available to the top of the cutting. A new retaining wall is to be installed along the southern edge of the new A66 in order to minimise the amount of excavation required.
- C3.4.39 The Order Limits include an area of the scheduled monument to the south of the A66 and part of an area to the north. All works should avoid these areas where possible, and plant and machinery should work from outside the monument (for the main A66 they should work from the existing A66 carriageway and for the new access road to the south they should work from the south, towards the monument) to minimise accidental damage.

#### *Key controls*

- C3.4.40 This section will include a description of the key controls for the construction of the project at this location, with regards to the scheduled monument, in accordance with the controls set out within the EMP.

## **C3.5 Control measures**

### Compaction prevention

- C3.5.1 Measures to ensure prevention of compaction within scheduled monuments to be detailed in this section. This may include the laying of matting or compact stone to protect buried archaeology from tracking damage.

### Vibration damage prevention

- C3.5.2 Prevention of vibration damage on scheduled monuments to be detailed in this section. This will include a vibration risk assessment for all works that could generate vibration close to a protected asset).

### Fencing

- C3.5.3 Site access and security with perimeter fencing will be established around work areas. This will include installation of protective fencing and signage around all areas of scheduled monuments within the Order Limits, to prevent accidental damage. Such protective fencing shall be agreed in advance with Historic England and the relevant Local Planning Authority, in accordance with details set out in the Outline Heritage Mitigation Strategy (Annex B3 of the Environmental Management Plan). A permit

system will be implemented by the PC Environmental Manager(s) for all works within these protective fencing areas, meaning fencing can only be removed and the area of site accessed under permit. This will ensure that any contractors working within the areas are fully aware of the environmental sensitivity and have their methods of working reviewed and authorised by competent professionals.

- C3.5.4 Fencing and signage will be employed in order to protect retained assets and prevent damage.

#### Pre-construction surveys and mitigation

- C3.5.5 The PC shall conduct pre-construction surveys as set out in Annex B3 Detailed Heritage Mitigation Strategy to the EMP (Application Document 2.7).